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INTERNATIONAL APPLICATION NO.

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/533,879

Celine Poncet-Legrand

032013-122

PCT/FR03/03211

I.A. FILING DATE

PRIORITY DATE

10/28/2003

11/05/2002

21839 **BUCHANAN INGERSOLL PC** (INCLUDING BURNS, DOANE, SWECKER & MATHIS) POST OFFICE BOX 1404 **ALEXANDRIA, VA 22313-1404** 

**CONFIRMATION NO. 9683 371 FORMALITIES LETTER** \*OC000000017404856\*

Date Mailed: 11/07/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

032013-122

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Copy of the International Application filed on 05/05/2005

- Copy of the International Search Report filed on 05/05/2005
- Preliminary Amendments filed on 05/05/2005
- Information Disclosure Statements filed on 05/05/2005
- Oath or Declaration filed on 05/05/2005
- Request for Immediate Examination filed on 05/05/2005
- U.S. Basic National Fees filed on 05/05/2005
- Priority Documents filed on 05/05/2005

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The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the MISSING PAMS DUE missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

## • \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

TERRY M JOHNSON VESSELS

Telephone: (703) 308-9140 EXT 221

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.		
10/533,879	PCT/FR03/03211	032013-122		

FORM PCT/DO/EO/905 (371 Formalities Notice)

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 032013-122 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371** 10/533,879 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. PCT/FR03/003211 October 28, 2003 November 5, 2002 TITLE OF INVENTION NANOMETRIC OR MESOSCOPIC DISSYMETRIC PARTICLES, AND METHOD FOR PREPARING SAME APPLICANT(S) FOR DO/EO/US Celine PONCET-LEGRAND; Serge RAVAINE; Etienne DUGUET; Elodie BOURGEAT-LAMI; Stephane RECULUSA, and Christophe MINGOTAUD Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission to items concerning a filing under 35 U.S.C. 371. 2. X This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (22) indicated below. 4. The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. In have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 21 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A FIRST preliminary amendment. 14. A SECOND or SUBSEQUENT preliminary amendment. 15. A substitute specification. 16. A change of power of attorney and/or address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: A copy of the Notification of Missing Requirements under 35 U.S.C. 371

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21.		Applicant(s) requests that the published application include the following assignment information:				CALCULATIONS	PTO USE ON		
22.	×	The following fees are	submitted:						
	Basic Filing Fee (1631)								
	Surcharge of \$130.00 (1617) for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).					\$ 130.00			
		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		5		
	Tota	Claims	-20 =	0	× \$50.00 (16	15)	\$ 0.00		
	Inde	endent Claims	-3 =	0	× \$200.00 (1	614)	\$ 0.00		
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	Exar	nination Fee			+ \$200.00 (1	633)			
	Sear	ch Fee			+ \$400.00 (1	632)			
: :	App. Size Fee (add \$250.00 for each add'l 50 sheets exceeding 100 sheets)								
	TOTAL OF ABOVE CALCULATIONS =					\$ 130.00			
	Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$ 0.00			
					SUBTO	TAL =	\$ 130.00		
			(1618) for furnishing the Eimed priority date (37 CFF		han	30	\$ 0.00		
	TOTAL NATIONAL FEE =					\$ 130.00			
	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (8021) per property +								
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	_	_					Amount to be refunded :		
	a. [	A check in the amo	unt of	to cover the above fees	s is enclosed.	I	charged :		
	b.	Please charge my I duplicate copy of the	Deposit Account No02 is sheet is enclosed.	2-4800 in the amount o	of \$130.00	)	to cover the abov	e fees. A	
	c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4800</u> . A duplicate copy of this sheet is enclosed.								
	d.	Charge	to credit card. Fe	orm PTO-2038 is attache	ed.				
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